

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Patrick Miles et al. Examiner: Unknown
Serial No.: 10/759,811 Group Art Unit: 3764
Filed: January 16, 2004 Docket: 039US1
Title: SURGICAL ACCESS SYSTEM AND RELATED METHODS

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

X Return postcard

X Supplemental Information Disclosure Statement (2 pgs)

X Form 1449 (3 pgs)

X Copies of 15 cited documents

Customer Number: 30328

Jonathan Spangler Reg. No. 40,182

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 25th day of January , 2007.

Christine Hartness

Signature

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Patrick Miles et al.

Examiner:

Unknown

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Surgical Access System and Related Methods

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 50-2040 in order to have this Supplemental Information Disclosure Statement considered. The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Serial No :10/759,811

Filing Date: January 16, 2004
Title: Surgical Access System and Related Methods

Page 2 Dkt: 039US1

Respectfully submitted,

PATRICK MILES ET AL.

By their Representatives,

CUSTOMER NUMBER: 30328

858-909-1807

Ву

Jonathan Spangler

Reg. No 40,182

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Christine Hartness

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

	Complete If Known	
Application Number	10/759,811	
Filing Date	January 16, 2004	
First Named Inventor	Miles, Patrick	
Art Unit	3764	
Examiner Name	Unknown	

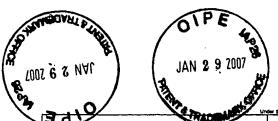
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Attorney Docket No: 039US1 Sheet of

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EXAMINER

DATE CONSIDERED



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INFO	RMATION 1	DISCLOSI	JRE	Complete If Known			
STAT	EMENT BY	APPLICA	ANT	Application Number	10/759,811		
				Filing Date	January 16, 2004		
				First Named Inventor	Miles, Patrick		
				Art Unit	3764		
				Examiner Name	Unknown		
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Sheet	2	of	3	Attorney Docket No: 0	39US1		

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Initials*	No 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	'
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Approved for use twough 7/31/2006, OMB 0651-031
US Paties à Treement Office; U.B. DEPARTRENT OF COMMERCE
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Substitut	te for form 1449A/	РТО			
INFO	RMATION	DISCLOSU	RE		Complete If Known
STATEMENT BY APPLICANT				Application Number	10/759,811
				Filing Date	January 16, 2004
				First Named Inventor	Miles, Patrick
				Art Unit	3764
				Examiner Name	Unknown
(1	Use as many shee	ts as necessary)			
Sheet	3	of	3	Attorney Docket No: 0	039US1

	OTHE	R DOCUMENTS NON PATENT LITERATURE DOCUMENTS	
Examiner initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Tr
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